

Cochlear Implants for Sensorineural Hearing Loss

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The story of Helen Keller is familiar to most people. This remarkable woman overcame immeasurable odds after becoming both deaf and blind as a result of illness in early childhood. In later life she wrote "Hearing is the deepest, most humanizing philosophical sense man possesses. . . . Hearing is the soul of knowledge. To be cut off from hearing is to be isolated indeed."¹ Although most people might respond differently if the question were posed to them, Ms. Keller also asserted that deafness is the more difficult of the 2 handicaps to overcome.

Within the past 25 years, physicians have been able to offer severely and profoundly deaf patients an opportunity to regain at least partial auditory function through the use of cochlear implants. Whereas hearing aids only amplify sound and deliver it to a damaged sensory system, often resulting in listeners receiving louder but still noninterpretable information, cochlear implants can provide vastly improved speech understanding.

This article briefly discusses the types and effects of hearing loss and then focuses on the topic of cochlear implants. The history of such devices is reviewed, as are their components and functions. Selection criteria for adult and pediatric implant candidates are outlined, and the procedures, risks, follow-up, and likely outcomes of surgery are examined. The controversial issue of providing implants to children is also addressed.

HEARING LOSS

Sensitivity and Acuity

Hearing loss comes in many forms and degrees of severity. Auditory disorders can result in decreased sensitivity, decreased acuity (clarity), or both. With decreased



sensitivity, sounds are simply too soft. If sounds are made louder, the listener is able to understand speech with little difficulty. Generally, the degree of hearing loss in cases of decreased sensitivity is not extreme. Conductive hearing loss, which involves disorders of the outer and/or middle ear, most often results in reduced sensitivity, with acuity remaining good. Hearing aids work quite well for patients with conductive hearing losses. Often, conductive hearing

losses are medically or surgically correctable.

Auditory disorders also can occur in either sensory or neural portions of the auditory system. Disorders in these areas typically result in loss of acuity as well as loss of sensitivity. Sensory receptors of the cochlea may be damaged or destroyed. When damage to the nerve or loss of sensory cells becomes too great, portions of the signal may be lost completely. Consequently, no matter how loud the sound is made through a hearing aid, the listener is unable to understand the words. This situation is analogous to a radio station that is not tuned properly. No matter how loud the volume, clarity is not improved; indeed, increasing the volume may decrease some patients' ability to understand.

Losses in both sensitivity and acuity may range from mild to total. Some people with less severe sensorineural hearing loss are able to derive adequate benefit from hearing aids. For both adults and children whose sensorineural damage is too great, however, coping with deafness and learning to communicate visually were for many years the only options.

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Effects of Hearing Loss

It is well documented that childhood deafness can have a severe impact on speech and language development, which can result in emotional, social, educational, and vocational disruption as the child matures.² Language—and in our society, oral language—is the primary means through which socialization and learning occur. Development of speech and language occurs rapidly in the first few years of life, primarily through normal family interaction. If the communication interaction between child and family is disrupted during these early critical years, serious delays are likely to occur. If the deprivation goes on for too long, the child may never make up the lost learning, even with extensive rehabilitation. Supporting this claim, the average reading level of deaf 18-year-old persons is just below the third grade level.³ Adults who have been deaf since childhood tend to be undereducated and earn less money, compared with their hearing peers.⁴ Severe to profound hearing loss has the potential to adversely affect many aspects of development, including social, cognitive, and academic abilities, primarily because of language delay.⁵ In the long term, deficits in these areas can limit vocational and economic potential.

Loss of hearing in the adult years is only slightly less devastating. Withdrawal from family and friends, loss of career, and increased feelings of insecurity are all potential effects of hearing loss in adults. Ramsdell⁶ distinguishes 3 levels of hearing. The first level is basic awareness. Whether or not we realize it, hearing persons are constantly in touch with our environment auditorially. Without this constant contact with the environment, deafened persons often report either feeling as though they are walking around “dead” or feeling alone in the world. The second level of hearing is the warning level. On this level, sound acts as a sign or signal of events to which we make constant adjustments in our daily living,⁶ allowing us, for example, to recognize that we should leave the building if the fire alarm is sounding, pull over to the right of the road if we hear a siren, or answer the telephone if it is ringing. Loss at this level decreases a person’s feeling of safety and security. Finally, the third and highest level of hearing is that used socially for comprehension of language. In this symbolic level, spoken words take on meaning. To hear and understand “I love you” is an ability shared by no other species. Although most animal species communicate at some level, only humans have the ability to use words to describe their thoughts and feelings. This level of hearing separates humans from other species. To lose this level of hearing is to feel isolated and, perhaps, even less human. The expe-

rience of hearing is a combination of all of these levels, which occur, essentially, simultaneously.

COCHLEAR IMPLANTS

Cochlear implants are essentially neural stimulators, which, when implanted into the cochlea of the inner ear, bypass the function of the sensory receptors. Minute electrical currents directly stimulate ganglion cells of the auditory nerve and are transmitted by the auditory nerve to the auditory cortex, where they are interpreted as sound. There are approximately 20,000 sensory receptors in the normal cochlea. Cochlear implants replace the function of damaged receptors with anywhere from 8 to 24 stimulating contacts.

History and Development

The concept of using electrical current to stimulate the auditory system dates back to the 1780s, when Volta attempted to stimulate his own auditory system by placing battery contacts into his ear canals. He did indeed report hearing a sound, in addition to receiving a rather unpleasant jolt. This idea was resurrected in the 1950s by the French surgeons Djourno and Eyries, who were able to elicit a hearing sensation in a deaf person using an electrode placed into the cochlea after surgery. In the early 1970s, Dr. William House of the House Ear Institute in Los Angeles developed a practical device that could be worn by patients outside the laboratory. This device used a single ball electrode placed approximately 6 mm into the cochlea. Activation of the electrode stimulated all remaining neural elements within its current range in an analog representation of the incoming sound. The result was a good reproduction of temporal characteristics of speech but provided very little information regarding spectral (pitch) content. In other words, the patient essentially heard a buzz with the same intonation pattern as the speech stimulus. However, even this limited information proved a valuable asset to speech reading in totally deaf individuals. Receiving at least some auditory awareness was reported by patients to increase feelings of security in the environment and lessen feelings of isolation and depression. There was, however, little understanding of speech without concurrent visual information.⁷

Researchers in the United States, Europe, and Australia soon produced devices with multiple electrodes that conveyed increased information about the speech signal. By the mid-1980s, patients with cochlear implants could expect to understand small amounts of speech without using visual cues, and the US Food and Drug Administration (FDA) approved general clinical



Figure 1. The internal components of a cochlear implant system, including the electrode array and the receiver-stimulator. Reprinted with permission from Cochlear Americas, Denver, CO.

use of cochlear implants in postlinguistically deafened adults. However, it was during the 1990s that cochlear implant technology saw its greatest leaps forward. Improved internal electrode design was aimed at enabling surgeons to place the device consistently closer to the modiolus, where the remaining stimulable elements are located. External devices continued to become smaller and, at the same time, more flexible. By the year 2000, more than 40,000 deaf individuals around the world had received cochlear implants. Cochlear implants are now recommended for children as young as 12 months. There is no upper age limit, and Medicare and most insurance companies cover the implantation procedure.

Components and Function

All cochlear implant systems have both internal and external components. The external components include a wearable microcomputer (speech processor), a microphone, and a radiofrequency-transmitting coil. The microphone, usually worn at or near the ear, picks up incoming sounds. From the microphone, sound travels along a cable to the speech processor, where acoustic information representing key aspects of speech is analyzed. These aspects are coded as frequency (ie, electrode selection) and intensity (ie, current amplitude). The electrical code is sent across the skin by a radio wave, directing the function of the internal component.

The internal component, called the *receiver-stimulator* or *implantable cochlear stimulator*, comprises a computer chip and housing, radiofrequency-receiving coil, and stimulating electrodes placed into a silastic carrier. This

is the portion that is implanted by the surgeon and is intended to remain in place for a lifetime. The signal sent by the speech processor via the transmitting coil is picked up by the radiofrequency-receiving coil and sent to the computer chip. The appropriate electrode along the array is selected and stimulated at the current level that most closely approximates loudness of the incoming signal. The speed with which the stimulation occurs has been found to be an important factor in speech intelligibility. **Figure 1** shows the internal components of a cochlear implant system.

Different coding strategies or methods of reproducing the characteristics of speech signals are used by different devices. Each of the currently used devices offers a choice of coding strategies, allowing for optimization of patient performance. All available devices offer a form of continuous interleaved sampling in which all available electrodes are stimulated one at a time for each sound. Another strategy offered by 2 of the devices is feature extraction in which the speech signal is broken down into component parts, including fundamental frequency, first and second formant information, intensity, and voicing cues. Only electrodes that carry any of this information are stimulated for a given sound. Only 1 of the devices currently has the capability of analog stimulation in which all electrodes are stimulated simultaneously. Several other options, which are hybrids of the 3 coding strategies just discussed, are also available. It appears that the majority of patients have strong preferences for and perform optimally with only a single strategy. However, no particular strategy appears to produce consistently better results overall than the others.

Until 1995, all speech processors were boxes about the size of a cigarette package and were generally worn on the belt like a beeper. A cable ran from the speech processor up to the microphone and transmitting coil. Ear-level processors, worn behind the ear like a conventional hearing aid, are now becoming the speech processors of choice. Implant users find the ear level device more comfortable and more cosmetically appealing. External components are usually fit by audiologists who have been trained to work with each device. **Figure 2** shows placement of the external components of a cochlear implant.

There are 3 cochlear implant systems in use in the United States. The Clarion Bionic Ear is manufactured by Advanced Bionics of Sylmar, CA. The Nucleus 24 system is manufactured by Cochlear Ltd in Australia. The Combi 40+ system is manufactured by MED-EL in Austria. Both Advanced Bionics and Cochlear have FDA-approved systems for adults and children. The

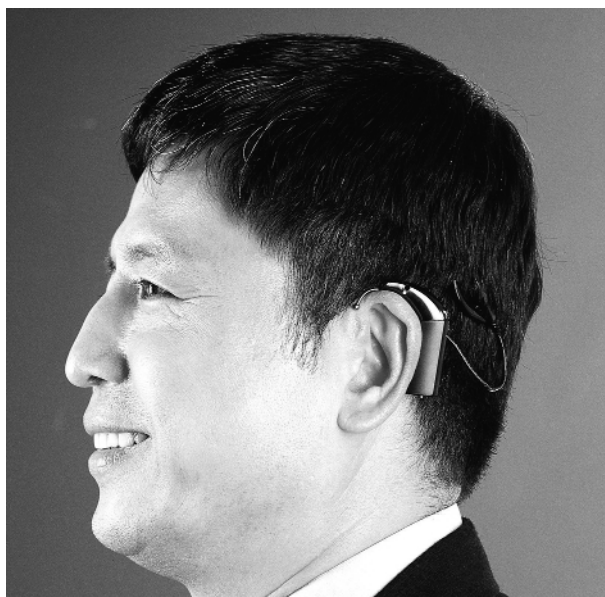


Figure 2. The external components of a cochlear implant system including the speech processor, microphone, and external transmitter. Reprinted with permission from Cochlear Americas, Denver, CO.

MED-EL devices entered the US market in early 1997. The MED-EL device with a standard electrode array design received approval from the FDA for clinical use in both adults and children in 2001. The MED-EL devices with compressed and split electrode array designs intended for use in an ossified cochlea received final FDA approval in July 2002.

Much research over the past 15 years has gone into speech-processing strategies, which more and more closely simulate normal speech. Within the past few years, research and development efforts have been aimed at the implanted electrode array itself. Electrode arrays that hug the modiolar wall are being emphasized. It is hoped that placement of the arrays consistently closer to the modiolar—and hence in better proximity to the stimutable elements of the auditory system—will ultimately require less power consumption to run the device. This advance should allow more complex speech-coding strategies to be used in smaller ear-level or completely implantable speech processors.

Selection of Patients

With the implants available in 1981, users could expect to understand approximately 12% of words in sentences without visual information (**Figure 3**). Original FDA criteria for cochlear implant candidacy required

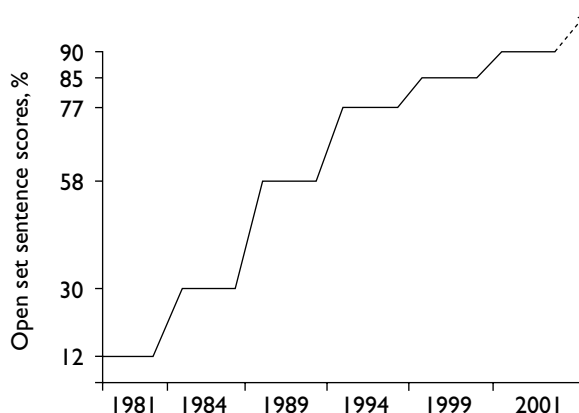


Figure 3. Graph illustrating how cochlear implant performance has improved continuously as technology has evolved. These scores are from data collected on the Nucleus device over time. Adapted with permission from Cochlear Americas, Denver, CO.

that a patient be postlinguistically deafened, be at least age 18 years, have bilateral profound to total sensorineural hearing loss, and receive no benefit from hearing aids. Thus, even 12% understanding was an improvement for this group of patients.

As cochlear implant technology has advanced, patient selection criteria have changed. By 1999, patients receiving cochlear implants could expect to understand 85% of words in sentences with no visual information.⁸ Because of these dramatic improvements in cochlear implant performance, the criteria used today include children as young as 12 months (and even younger if ossification of the cochlea is observed on a computed tomographic scan) and adults of all ages, whether pre- or postlinguistically deafened. Receiving benefit from hearing aids no longer eliminates a patient from cochlear implant candidacy. Potential implant candidates who understand approximately 50% of words in sentences with well-fit hearing aids can still expect to perform better with cochlear implants. Criteria for adult and pediatric implant candidates are shown in **Table 1**.

Evaluation of cochlear implant candidates consists of audiologic, radiologic, and medical assessment. Psychological and educational assessment may be indicated in certain cases. The audiologic battery consists of both unaided testing and testing using appropriately fit hearing aids. Patients must demonstrate a combination of bilateral moderate to profound sensorineural hearing loss and minimal word recognition abilities with hearing aids. In very young children, ongoing

Table 1. Current Criteria for Cochlear Implantation

Children

- Age 12 months or older
- Bilateral profound sensorineural hearing loss
- Documentation of no significant benefit from hearing aids with appropriate intervention
- Evidence of strong family support
- No medical contraindications to surgery
- Receptive and supportive educational system

Adults

- Bilateral sensorineural hearing loss with very poor speech understanding
- Little improvement in speech understanding using optimally fit hearing aids
- No medical contraindications to surgery
- Appropriate expectations and motivation

diagnostic therapy on a regular basis may be necessary over a period of several months to determine if the child can benefit sufficiently from hearing aids to develop normal receptive and expressive language abilities. With most adults, the evaluation can be completed in 2 to 3 hours. However, for cases in which appropriate hearing aids have not been used, a trial period with amplification may be required before candidacy is confirmed.

Computed tomographic scanning or magnetic resonance imaging (MRI) of the temporal bones is necessary to determine cochlear status. Identification of any congenital abnormalities and determination of the patency of the cochlear ducts help the surgeon plan insertion strategy or select a specific device prior to surgery. In addition, severe dysplasia or ossification may reduce somewhat the prognosis for success. Patients should be counseled accordingly before deciding to go through with the procedure.

Medically, a patient must be able to tolerate the surgical procedure. Cochlear implant surgery is generally neither long nor complex. Patients with apparently severe medical problems—ranging from major organ transplants (eg, heart, kidney, liver) to diabetes mellitus and even AIDS—have successfully undergone implant surgery with excellent results. The authors have personally worked with implant recipients ranging in age from 12 months to the upper 80s. We have heard of others implanting the devices in patients as young as 7 months and as old as 96 years without any medical complications. Medical clearance is required, of course, from the

physician managing the major illness or the primary care provider, as well as from the anesthesiologist to be involved in the implant surgery.

Original FDA criteria excluded from implantation persons with evidence of organic brain damage, educational retardation, or major learning disabilities. Today, many children with various cognitive disabilities are benefiting from cochlear implants. Several important questions must be answered before considering cognitively impaired persons for implantation. First, can sufficient testing can be performed to erase any doubt as to the degree of deafness? Secondly, does the individual have the ability to cooperate, if not participate, in postoperative programming procedures? Finally, do all involved individuals, including parents, teachers, physicians, and audiologists, have realistic expectations? Cognitively impaired individuals can be expected to hear at the same level as others. However, whether or not impaired individuals learn to understand and/or use speech will depend on many interacting factors. Most patients in this group develop at least simple functional oral receptive language. Sign language may remain necessary to the communication skills of multiply involved persons (ie, those with hearing loss and any combination of cognitive impairments).

Surgical Procedure and Risks

At the University of Miami Ear Institute, surgery for insertion of the cochlear implant into a normal cochlea begins with a small (3–4 cm) postauricular incision and consists primarily of an extended mastoidectomy. The mastoid cortex is partially removed and the middle ear opened. The electrode array is inserted into the scala tympani through a cochleostomy near the round window. The cochleostomy is sealed with soft tissue, and the receiver-stimulator is tied into place. Finally, the flap is closed over the device. The entire procedure generally requires no more than 1 to 2 hours. Most surgeries involve an outpatient stay in the hospital. Surgical procedures may differ somewhat among surgeons.

Surgical techniques have been developed that allow implantation in cases of severe cochlear ossification or cochlear malformation. At one time, persons with radiologic contraindications were eliminated from cochlear implant candidacy, although this restriction is now no longer absolute. Very few persons are unable to receive cochlear implants as a result of surgical considerations. However, patients with abnormal cochleas must be carefully counseled regarding the possibility of reduced benefit.^{9–11}

Risks associated with cochlear implant surgery are similar to those associated with other routine chronic

ear or cholesteatoma surgeries when performed by an experienced surgeon. Risks primarily involve the use of general anesthesia and potential damage to the facial nerve resulting in facial paralysis. Use of monitoring during surgery has minimized concerns about permanent facial nerve damage.

Certain restrictions remain of concern, but they are largely being addressed by cochlear implant manufacturers. Cochlear implants originally were incompatible with the use of MRI because of the magnet located in the receiver-stimulator. Low-level (< 0.3 T) MRI, found in many open MRI setups, can now be used in patients with some implants, but only after consultation with the implant surgeon. One implant system has a magnet designed to be removed if MRI becomes necessary. Removal is accomplished through a short surgical procedure. The cochlear implant remains functional, but with the magnet removed, the transmitter must be attached in an alternate manner. Cochlear implant users also should not have monopolar electrocautery during any subsequent surgery. Otherwise, use of an implant places little restriction on a patient's lifestyle.

Long-term effects of electrical stimulation on the auditory system and surrounding structures remain unknown. However, animal studies,¹² as well as experiences of more than 20 years of implantation, have not revealed problems related to long-term stimulation. Mechanical and electronic failures do occur with cochlear implants, as with any type of manufactured device. Currently, the failure rate of cochlear implant internal devices is less than 3%. If an internal device does fail, it can be removed and usually replaced. The experience of the authors has been that results of reimplantation are equal to or better than those obtained with the initial implant.

Follow-up Care of Implant Recipients

Cochlear implantation begins a process that continues as long as the patient is using the device. For both adults and children, this process includes diligent device maintenance and regular visits to an implant center. Repeat visits to the implant center are especially necessary in the early stages of implant use. As the user adapts to the device, improvements in performance should be noted over time. Stimulation levels have also been shown to change over time. Consequently, regular programming visits to reassess the minimum and maximum levels of stimulation are needed to keep the sound fine-tuned (similar to keeping a radio station in tune).

In addition, cochlear implant external components are subject to wear and tear. Microphones and cables can succumb to moisture or develop shorts, which degrade

the sound. When such degradations occur over time, many patients are not aware of the changes in sound.

Whereas most postlinguistically deafened adults progress rapidly within the first several weeks, other subtle improvements may be noted over a period of years. For young children, habilitation will be an ongoing part of their lives for years to come. If the goal set by the parents is for the child to be fully integrated socially and educationally, participation in an auditorially based oral language program in the early years will maximize the child's chances to reach these goals. Adult patients and parents of pediatric users must be counseled early on that the implant is not a cure for deafness but begins an ongoing process of learning to use the information provided by the device.

Outcomes

Figure 3 illustrates the continuous improvement in cochlear implant performance over the past 20 years. Although the data shown involve the device from Cochlear Ltd, performance of all devices is quite similar at this time. For adults who lose their hearing after the acquisition of language, mean word recognition in sentence score for all devices is approximately 80% (Figure 3) in quiet surroundings. Scores on single syllable words presented in isolation average approximately 40%, according to all 3 manufacturers. More than 50% of adult implant users are able to use the telephone following implantation.¹³

However, there is a wide range of performance across patients for all devices. Whereas the majority of deafened adult implant users have good to excellent ability to understand speech without visual information, there remain patients for whom speech understanding is poor. It is not known why such variation exists, and it is difficult to predict how individual patients will perform with the implant prior to surgery. Adults who were deafened in infancy or early childhood probably have the most guarded prognosis for success. In contrast, those who have worn hearing aids consistently and are oral language users generally are quite successful with implants. Adults who have never used hearing aids or have not used them in many years often find it more difficult to adjust and make use of auditory information. However, with proper expectations and motivation, most deaf adults with some oral language skills can derive benefit from a cochlear implant.

Special Considerations Involving the Implantation of Children

The implantation of children is perhaps a more complex and controversial issue. Many members of the

deaf community sincerely believe that it is unethical to implant a young child who has no say in the decision. For them, the deaf community, tied together by use of American Sign Language, is the primary focus of deaf persons' lives. They feel that when a young child receives a cochlear implant, he or she is being deprived of the opportunity to become part of that close knit, emotionally supportive group.¹⁴

Approximately 9 of every 10 deaf children are born to hearing parents.¹⁵ For most of these families, the desire is strong for the child to hear and speak and thus become a fully functioning member of both the nuclear and extended family. It has been demonstrated repeatedly that early cochlear implantation can lead to the development of essentially normal speech and language abilities in deaf children.¹⁶⁻¹⁸ Children who are implanted by age 3 years and who receive appropriate follow-up therapy are often prepared to attend school with their normally hearing counterparts by the time they reach school age.

The implantation of infants younger than age 2 years is becoming more widely accepted. It is of utmost importance that centers implanting these infants have surgeons and audiologists with extensive pediatric experience. The evaluation, surgery, and follow-up of infants require extra time, extensive resources, and much expertise. Large university-based cochlear implant programs may more often be equipped to handle the special needs and extended time and resources associated with infants and their families.

SUMMARY

Although the ear is an extraordinarily complex mechanism, research has made greater strides in recreating its function than the function of any other sensory organ. Perhaps this fact is a statement on the importance of hearing to the human species. Although not yet perfected, the cochlear implant can bring to those who lack it, in the words of Helen Keller, "that most vital stimulus, the sound of the human voice that . . . keeps us in the intellectual company of man."¹ **HP**

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